

11/05/99
JCS85 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37
CFR 1.53(b))

Attorney Docket No. B0801/7159(ERP)

First Named Inventor or Application Identifier

BAND, Hamid et al.

Express Mail Label No. EL056790199US

Date of Deposit November 5, 1999

U.S. PTO
09/434708
11/05/99

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

TO:

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Specification [Total pages 64]
46 pages specification; 9 pages Seq. Listing
1 pages abstract
8 pages claims
69 claims
3. X Drawing(s) (35 USC 113) [Total sheets 9]
X Informal ☐ Formal [Total drawings 8, including Figs.
1A, 1B, 2, 3A, 3B, 3C, 3D, 4A, 4B, 5A, 5B, 6, 7, and
8]
4. ☐ Oath or Declaration [Total pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference
(usable if Box 4b is checked)
The entire disclosure of the prior application,
from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. X Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
 - a. X Computer Readable Copy
 - b. X Paper Copy (identical to computer copy)
 - c. X Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation of Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. X Preliminary Amendment
13. X Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior
Statement(s) application, Status still proper
and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other: This application claims priority under Title
35 §119(e), of United States Provisional Application
No. 60/107,470, filed November 6, 1998, and entitled
CHARACTERIZATION OF NOVEL GENE CBL-SL, the
entire contents of which are incorporated herein by
reference.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

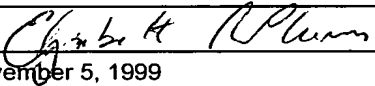
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
- ☐ Cancel in this application original claims of the prior application before calculating the filing fee.
- ☐ Amend the specification by inserting before the first line the sentence:

This application is a ☐ continuation ☐ divisional of application serial no. , filed , entitled , and now .

18. CORRESPONDENCE ADDRESS*Correspondence address below*

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19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Elizabeth R. Plumer, Reg. No. 36,637
SIGNATURE	
DATE	November 5, 1999

66501-3042460

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ATTORNEY'S DOCKET NO.: B0801/7159(ERP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hamid BAND et al.
Serial No: Not Yet Assigned
Filed: Herewith
For: CHARACTERIZATION OF NOVEL GENE CBL-SL
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned

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09/434708
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box: Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 5th day of November, 1999.



Elizabeth R. Plumer

Box: Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

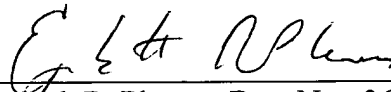
STATEMENT PURSUANT TO 37 CFR 1.821(f)

Sir and/or Madam:

This statement is made pursuant to 37 CFR 1.821(f). Applicants enclose herewith an original written copy of the Sequence Listing and a computer readable diskette. Applicants' attorney states that the information recorded in the computer readable form is identical to the written Sequence Listing and that the Sequence Listing contains no new matter.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.



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Date: November 5, 1999

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